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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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## PATENT APPLICATION **TRANSMITTAL**

| Attorney Docket No.    |                       | TI-36156                                       |  |  |  |  |  |
|------------------------|-----------------------|--|--|--|--|--|--|
| First Inventor         |                       | Ko, et al.                                     |  |  |  |  |  |
| Title                  | Group De<br>Lock Loop | cision Rule in Code Tracking Using Delay (DLL) |  |  |  |  |  |
| Express Mail Label No. |                       | EV 396902245 US                                |  |  |  |  |  |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| APPLIC   | ATION ELEMENTS  |  |   | Con  | nmissioner for   | r Patents   |  |  |  |  |
|--|---|--|---|--|------------------|---|--|--|--|--|
|  | ncemina utility patent application o  | ADDRESS TO: Mail Stop: Patent Application P. O. Box 1450, Alexandria, VA 22313-1450. |   |  |                  |   |  |  |  |  |
| 1 X Fee Transmittal F  | form (e.g., PTO/SB/17) a duplicate for fee processing)  | <del></del>  | 7.  | CD-ROM or CI                                     | D-R in dupli     | cate, large table or  |  |  |  |  |
| 2. Applicant claims s<br>See 37 CFR 1.27.                                    | mall entity status.   |  | 8. Nucle  | Computer Progeticable, all necessary             | o Acid Sequ      | ndix)<br>uence Submission D   |  |  |  |  |
| 3. X Specification (preferred arrangement)                                   | [Total Pages set forth below)   | 33 ]   | a.  |  | r)<br>Readable F | orm (CRF)   |  |  |  |  |
| <ul> <li>Detailed Descript</li> <li>Claim(s)</li> </ul>                      | e Invention<br>f the Invention<br>of the Drawings <i>(if filed)</i><br>ion  |  | b. :  | ii. paper  | OM or CD-R       | g on: (2 copies); or  |  |  |  |  |
| - Abstract of the Di   | sclosure  |  | Α   | CCOMPANYING                                      | G APPLIC         | ATION PARTS   |  |  |  |  |
|  | _   |  | 9. <u>X</u>   | Assignment Pa<br>37 CFR 3.73(b) (when there is a | Statement        | sheet & document(s)) Power of Attorney  |  |  |  |  |
| 4. X Drawing(s) (35 U.S  | S.C. 113) [Total Sheets   | 6 J  | 11.   | English Transla                                  | ation Docum      | nent (if applicable)  |  |  |  |  |
| 5. Oath or Declaration   | [Total Sheets   | 1 ]  | 12.   | Information Dis<br>Statement (IDS                |                  | Copies of IDS<br>Citations  |  |  |  |  |
| a. X Newly exec  | cuted (original or copy)  |  | 13. Preliminary Amendment   |  |                  |   |  |  |  |  |
| b. Copy from (for continual  |   | 14. X Two (2) Return Receipt Postcards (MPEP 503) (Should be specifically itemized)  |   |  |                  |   |  |  |  |  |
| j DEL  | ETION OF INVENTOR(S) and statement attached deleting inventor   | ŕ  | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) |  |                  |   |  |  |  |  |
|  | named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  |  |   |  |                  | 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |  |  |  |  |
| 6. Application Data S  | heet. See 37 CFR 1.76   |  | 17.   | Other:   |                  | ••••••  |  |  |  |  |
| or in an Application Data Shee  Continuation  Prior application information: | 18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Continuation  Continuation  Continuation-in-part (CIP)  Continuation No.: |  |   |  |                  |   |  |  |  |  |
| Box 5b, is considered a part of t  | he disclosure of the accompanying upon when a portion has been  | na continuation o  | r divisional  | application and is h                             | ereby income     | orated by reference. The  |  |  |  |  |
|  | 19. COR   | RESPONDENC   | E ADDRE   | ESS  |                  |   |  |  |  |  |
| Customer Number  |   | mar No for Attach ha   | e anda lahal k  | or   | Correspo         | andence address below   |  |  |  |  |
| Name   | Ron Neerings  |  |   |  |                  |   |  |  |  |  |
|  | Texas Instruments Incorporated  |  |   |  |                  |   |  |  |  |  |
| Address  | P. O. Box 655474 M/S 3  | 999  |   |  | 1                |   |  |  |  |  |
| City Dallas  |   |  |   | exas   | Zip Code         |   |  |  |  |  |
| Country  | US  | Telenh   | one   97  | 2-917-5440                                       | Fax              | 972-917-4418  |  |  |  |  |
| Name (Print/type)  | Ira S. Matsil   |  | Regist  | tration No. (Attorn                              | ey/Agent)        | 35,272  |  |  |  |  |
| Signature  | J.S111  |  |   |  | Date             | October 31, 2003  |  |  |  |  |

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

| Applicant Claims small entity sta | atus. See 37 CFR 1.27 |
|-----------------------------------|-----------------------|
| TOTAL AMOUNT OF PAYMENT           | (\$)1.062.00          |

| Complete if Known    |            |  |  |  |  |  |  |
|----------------------|------------|--|--|--|--|--|--|
| Application Number   | TBD        |  |  |  |  |  |  |
| Filing Date          | Herewith   |  |  |  |  |  |  |
| First Named Inventor | Ko, et al. |  |  |  |  |  |  |
| Examiner Name        | TBD        |  |  |  |  |  |  |
| Group Art Unit       | TBD        |  |  |  |  |  |  |
| Attorney Docket No.  | TI-36156   |  |  |  |  |  |  |

| METHOD OF PAYMENT (check all that apply) |   |             | FEE CALCULATION (continued) |   |            |             |             |                   |             |  |          |
|--|---|-------------|-----------------------------|---|------------|-------------|-------------|-------------------|-------------|--|----------|
| Check Credit card Money Other None       |   | 3. AD       | DITION                      | IAL FE  | S          |             |             |                   |             |  |          |
|  | _   |             | L                           |   |            | Large E     |             | Small E           |             |  |          |
| X Deposit                                | Accou   | nt          |                             |   |            | Fee<br>Code | Fee<br>(\$) | Fee<br>Code       | Fee<br>(\$) | Fee Description  | Fee Paid |
| Deposit<br>Account<br>Number             |   |             |                             | 20-0668   |            | 1051        | 130         | 2051              | 65          | Surcharge - late filing fee or oath  |          |
| Deposit<br>Account<br>Name               | Те  | kas Inst    | trumer                      | nts Incorporated  |            | 1052        | 50          | 2052              | 25          | Surcharge – late provisional filing fee or cover sheet                     |          |
|  |   |             |                             | zed to: (check all that apply)                          |            | 1053        | 130         | 1053              | 130         | Non-English specification  |          |
| X Charge                                 | fee(s)  | indicated   | below                       | X Credit any overpayments                               |            | 1812        | 2.520       | 1812              | 2.520       | For filing a request for ex parte reexaminat                               | ion      |
| X Charge                                 | any a   | dditional f | ee(s) duri                  | ing the pendency of this application                    | n          | 1804        | 920*        | 1804              | 920*        | Requesting publication of SIR prior to<br>Examiner action                  |          |
| to the above-io                          |   |             |                             | except for the filing fee                               |            | 1805        | 1,840*      | 1805              | 1,840*      | Requesting publication of SIR after<br>Examiner action                     |          |
|  |   | FEE         | CAL                         | CULATION  |            | 1251        | 110         | 2251              | 55          | Extension for reply within first month                                     |          |
| 1. BASIC                                 | FIL   | NG FE       | E                           |   |            | 1252        | 410         | 2252              | 205         | Extension for reply within second month                                    |          |
| Large Entit                              | v I   | Small E     | Entity                      |   |            | 1253        | 930         | 2253              | 465         | Extension for reply within third month                                     |          |
| Fee Fo                                   | ę́е   | Fee<br>Code | Fee<br>(\$)                 | Fee Description Fee P                                   | hie        | 1254        | 1,450       | 2254              | 725         | Extension for reply within fourth month                                    |          |
| 1001 75                                  | ' 1   | 2001        | (♥)<br>375                  | Utility filing fee 770.0                                |            | 1255        | 1,970       | 2255              | 985         | Extension for reply within fifth month                                     |          |
| 1002 33                                  |   | 2002        | 165                         | Design filing fee                                       | -          | 1401        | 320         | 2401              | 160         | Notice of Appeal   |          |
| 1002 52                                  | · ·   | 2002        | 260                         | Plant filing fee  | -          | 1402        | 320         | 2402              | 160         | Filing a brief in support of an appeal                                     |          |
| 1004 75                                  |   | 2004        | 375                         | Reissue filing fee                                      |            | 1403        | 280         | 2403              | 140         | Request for oral hearing   |          |
| 1005 16                                  |   | 2005        | 80                          | Provisional filing fee                                  |            | 1451        | 1,510       | 1451              | 1,510       | Petition to institute a public use proceeding                              | ,        |
|  | '   |             |                             |   |            | 1452        | 110         | 2452              | 55          | Petition to revive – unavoidable   |          |
|  |   |             | SUB'                        | TOTAL (1) (\$) 770.00                                   |            | 1453        | 1,300       | 2453              | 650         | Petition to revive – unintentional   |          |
| 2. EXTRA                                 | CL  | AIM FE      |                             | OR UTILITY AND REISS                                    | UE         | 1501        | 1,300       | 2501              | 650         | Utility issue fee (or reissue)   |          |
|  |   |             | Extra                       | Fee from<br>Claims below Fee                            | Paid       | 1502        | 470         | 2502              | 235         | Design issue fee   |          |
| Total Claims                             |   | -20         | ••= 1                       |   | 2.00       | 1503        | 630         | 2503              | 315         | Plant issue fee  |          |
| Independent<br>Claims                    |   | 3 -3        | rt=   (                     | 0 X 86 =  | 0.00       | 1460        | 130         | 1460              | 130         | Petitions to the Commissioner  |          |
| Multiple Dep                             | ende  | nt          |                             | =   |            | 1807        | 50          | 1807              | 50          | Processing fee under 37 CFR 1.17(q)  |          |
| Large Entit                              | у   | Small E     | Entity                      |   |            | 1806        | 180         | 1806              | 180         | Submission of Information Disclosure Stmt                                  |          |
| Fee Fee<br>Code (\$)                     |   | Fee<br>Code | Fee<br>(\$)                 | Fee Description   |            | 8021        | 40          | 8021              | 40          | Recording each patent assignment per property (times number of properties) | 40.00    |
| 1202                                     | 18  | 2202        | 9                           | Claims in excess of 20                                  |            | 1809        | 750         | 2809              | 375         | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1201                                     | 84  | 2201        | 42                          | Independent claims in exce                              | ss of      | 1810        | 750         | 2810              | 375         | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1203 2                                   | 280   | 2203        | 140                         | Multiple dependent claim, if paid                       | not        | 1801        | 750         | 2801              | 375         | Request for Continued Examination (RCE)                                    |          |
| 1204                                     | 84  | 2204        | 42                          | **Reissue independent cla<br>over original patent       | ims        | 1802        | 900         | 1802              | 900         | Request for expedited examination of a design application                  |          |
| 1205                                     | 18  | 2205        | 9                           | **Reissue claims in excess<br>20 and over original pate | of<br>nt   |             |             |                   |             |  |          |
| SUBTOTAL (2) (\$) 252.00                 |   | Other fe    | e (specif                   | ý)  |            |             |             |                   |             |  |          |
| ** or nui                                | ** or number previously paid, if greater; For Reissues, see above |             |                             | *Reduce   | d by Basic | Filing Fee  | Paid        | SUBTOTAL (3) (\$) | 40.00       |  |          |

| SUBMITTED B          | Υ             | Complete (if applicable)             |        |           |                  |
|----------------------|---------------|--------------------------------------|--------|-----------|------------------|
| Name<br>(Print/Type) | Ira S. Matsil | Registration No.<br>(Attorney/Agent) | 35,272 | Telephone | 972-732-1001     |
| Signature            | asmit         |                                      |        | Date      | October 31, 2003 |

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Ko, et al.

Docket No.:

TI-36156

Serial No.:

**TBD** 

Art Unit:

**TBD** 

Filed:

**HEREWITH** 

Examiner:

**TBD** 

For:

Group Decision Rule in Code Tracking Using Delay Lock Loop (DLL)

## **Certificate of Mailing via Express Mail**

EXPRESS MAIL mailing label number: EV 396902245 US

Date of Deposit:

October 31, 2003

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to: Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450:

Certificate of Mailing via Express Mail (1 page)

Utility Patent Application Transmittal (1 page)

Fee Transmittal (1 original and 1 copy = 2 pages)

Complete Copy of Patent Application (39 pages), to include:

Specification (33 pages)

Drawing sheets (6 pages)

Declaration and Power of Attorney (1 page)

Assignment Recordation Cover Sheet (1 page)

Copy of Original Signed Assignment(s) (1 page)

Return Postcards (2)

Respectfully submitted,

Dusty Dudley Legal Assistant

Slater & Matsil, L.L.P. 17950 Preston Rd., Suite 1000

Dallas, Texas 75252-5793 Tel: 972-732-1001

Fax: 972-732-9218